

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

COPY

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ELECTROLUMINESCENT DEVICES

the specification of which was filed in the U.S. Patent Office on March 10, 2000 under U.S. Serial No. 09/508,367.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT Application(s) and any priority claims under 35 U.S.C. §119:			Priority Claimed	
<u>PCT/GB99/00741</u>	<u>PCT</u>	<u>12 March 1999</u>	☒	☐
(Number)	(Country if PCT so indicate)	(DD/MM/YY Filed)	YES	NO
<u>GB9805476.0</u>	<u>Great Britain</u>	<u>13 March 1998</u>	☒	☐
(Number)	(Country)	(DD/MM/YY Filed)	YES	NO

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>(Application Serial No.)</u>	<u>(filing date)</u>	<u>(status-patented, pending, abandoned)</u>
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<u>(Application Serial No.)</u>	<u>(filing date)</u>	<u>(status-patented, pending, abandoned)</u>
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PCT Applications designating the United States:

<u>PCT/GB99/00741</u>	<u>09/508,367</u>	<u>12 March 1998</u>	<u>Pending</u>
(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Therese A. Hendricks Reg. 30,389
M. Lawrence Oliverio Reg. No. 30,915

Address all telephone calls to Therese A. Hendricks at telephone no. (617) 720-3500. Address all correspondence to

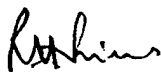
Therese A. Hendricks
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature

Full name of first inventor: Jeremy Henley Burroughes
Citizenship: Great Britain
Residence: 36 Rustat Road, Cambridge, CB1 3QT, Great Britain
Post Office Address: Same

Date



Inventor's signature

Full name of second or joint inventor: Richard Henry Friend
Citizenship: Great Britain
Residence: 37 Barton Road, Cambridge, CB3 9LG, Great Britain
Post Office Address: Same

Date

25 April 2000

Inventor's signature

Full name of second or joint inventor: Christopher John Bright
Citizenship: Great Britain
Residence: 3 Van Gogh Place, St. Ives, Huntingdon Cambridgeshire, PE17, 6XU, Great Britain
Post Office Address: Same

Date

Inventor's signature

Full name of second or joint inventor: David John Lacey
Citizenship: Great Britain
Residence: 46 Station Road, Ashwell, Hertfordshire SG7 5LS, Great Britain
Post Office Address: Same

Date

Inventor's signature

Full name of second or joint inventor: Peter Devine
Citizenship: Great Britain
Residence: 34 Coles Road, Milton, Cambridge CB4 6BW, Great Britain
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Inventor's signature

Full name of first inventor: Jeremy Henley Burroughes
Citizenship: Great Britain
Residence: 36 Rustat Road, Cambridge, CB1 3QT, Great Britain
Post Office Address: Same

19/6/2000
Date

Inventor's signature

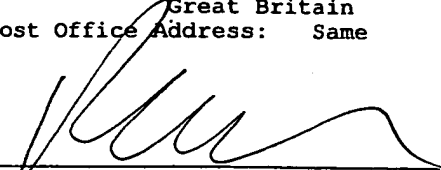
Full name of second or joint inventor: Richard Henry Friend
Citizenship: Great Britain
Residence: 37 Barton Road, Cambridge, CB3 9LG, Great Britain
Post Office Address: Same

Date


Inventor's signature

Full name of second or joint inventor: Christopher John Bright
Citizenship: Great Britain
Residence: 3 Van Gogh Place, St. Ives, Huntingdon Cambridgeshire, PE17, 6XU, Great Britain
Post Office Address: Same

22-6-00
Date


Inventor's signature

Full name of second or joint inventor: David John Lacey
Citizenship: Great Britain
Residence: 46 Station Road, Ashwell, Hertfordshire SG7 5LS, Great Britain
Post Office Address: Same

22/6/2000
Date

Inventor's signature

Full name of second or joint inventor: Peter Devine
Citizenship: Great Britain
Residence: 34 Coles Road, Milton, Cambridge CB4 6BW, Great Britain
Post Office Address: Same

Date

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PCT/GB99/00741 (PCT Appl. No.)	09/508,367 (U.S. Ser. No.)	12 March 1998 (PCT filing date)	Pending (status-patented, pending, abandoned)
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M. Lawrence Oliverio Reg. No. 30,915

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Full name of first inventor: Jeremy Henley Burroughes
Citizenship: Great Britain
Residence: 36 Rustat Road, Cambridge, CB1 3QT, Great Britain
Post Office Address: Same

Inventor's signature

Date

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Post Office Address: Same

Inventor's signature

Date

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Great Britain
Post Office Address: Same

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Date

Full name of second or joint inventor: David John Lacey
Citizenship: Great Britain
Residence: 46 Station Road, Ashwell, Hertfordshire SG7 5LS, Great Britain
Post Office Address: Same

X Peter Devine X
Inventor's signature

✓ 26/4/2000 X
Date

Full name of second or joint inventor: Peter Devine
Citizenship: Great Britain
Residence: 34 Coles Road, Milton, Cambridge CB4 6BW, Great Britain

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents and copy there of.

ATTN. BOX ASSIGNMENTS

1. Name of conveying party(ies):
Jeremy Henley Burroughes
Richard Henry Friend
Christopher John Bright
David John Lacey
Peter Devine

2. Name and address of receiving party(ies):
Cambridge Display Technology Limited
Greenwich House
Madingley Rise
Madingley Road
Cambridge CB3 0HJ
United Kingdom

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

COPY

3. Nature of conveyance:

☐ Assignment ☒ Other (to correct assignment
previously recorded at Reel
012452, Frames 0064-0070

Execution Date: April 25, 2000
April 26, 2000
June 19, 2000
June 22, 2000

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):
09/508,367 filed March 10, 2000

B. Patent Number(s):

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Therese A. Hendricks
FINNEGAN, HENDERSON, FARABOW, GARRETT &
DUNNER, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315

6. Total number of applications and registrations involved:
1

7. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)
☐ Authorized to be charged to deposit account

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington, D.C.

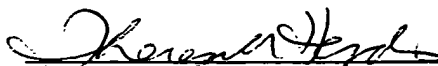
State: Zip: 20005-3315

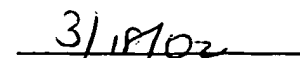
8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Therese A. Hendricks


Signature


Date

Total number of pages including cover sheet, attachments and documents: 7

TAH/LMO



UNITED STATES
PATENT AND
TRADEMARK OFFICE

RECEIVED
COPY

MARCH 07, 2002

MAR 12 2002

PTAS
FINNEGAN, HENDERSON, FARABOW, GARRETT &
DUNNER, L.L.P.
THERESE A. HENDRICKS
1300 I STREET, N.W.
WASHINGTON, D.C. 20005-3315

Chief Information Officer
Washington, DC 20231
www.uspto.gov
FINNEGAN, HENDERSON,
FARABOW, GARRETT & DUNNER, LLP



101947359A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/03/2002

REEL/FRAME: 012452/0064
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BURROUGHES, JEREMY HENLEY

DOC DATE: 06/19/2000

ASSIGNOR:
FRIEND, RICHARD HENRY

DOC DATE: 04/25/2000

ASSIGNOR:
BRIGHT, CHRISTOPHER JOHN

DOC DATE: 06/22/2000

ASSIGNOR:
LACEY, DAVID JOHN

DOC DATE: 06/22/2000

ASSIGNOR:
DEVINE, PETER

DOC DATE: 04/26/2000

ASSIGNEE:
CAMBRIDGE TECHNOLOGY LIMITED
GREENWICH HOUSE, MADINGLEY RISE
MADINGLEY ROAD
CAMBRIDGE CB3 0HJ, UNITED KINGDOM

KO
3/12/02

012452/0064 PAGE 2

SERIAL NUMBER: 09508367
PATENT NUMBER:

FILING DATE: 01/03/2002
ISSUE DATE:

SEDLEY PYNE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-15-2002



U.S. District Court for the District of Columbia
 Patent and Trademark Office
 Attorney Docket Number: 08513.7022
 Attorney Customer Number: 22,852

01-3-2

101947359

ATTN: BOX ASSIGNMENTS

Rec'd PCT/PTO 03 JAN 2002

T the Honorable Commissioner of
 Please record the attached original

1. Name of conveying party(ies):
 Jeremy Henley Burroughes
 Richard Henry Friend
 Christopher John Bright
 David John Lacey
 Peter Devine

2. Name and address of receiving party(ies):
 Cambridge Technology Limited
 Greenwich House
 Madingley Rise
 Madingley Road
 Cambridge CB3 0RJ
 United Kingdom

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

Execution Date: April 25, 2000
 April 26, 2000
 June 19, 2000
 June 22, 2000

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):
 09/508,367 filed March 10, 2000

B. Patent Number(s):

Additional numbers attached?

☐ Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Therese A. Hendricks
 FINNEGAN, HENDERSON, FARABOW, GARRETT &
 DUNNER, L.L.P.
 1300 I Street, N.W.
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State: Zip: 20005-3315

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Therese A. Hendricks

Signature

Date

Total number of pages including cover sheet, attachments and documents: 7

ASSIGNMENT

COPY

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Jeremy Henley Burroughes, Richard Henry Friend, Christopher John Bright, David John Lacey and Peter Devine hereby

Sell, assign and transfer to Cambridge Display Technology Limited, a corporation having a place of business at Greenwich House, Madingley Rise, Madingley Road, Cambridge CB3 OHJ, United Kingdom, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent Application No. 09/508,367 filed March 10, 2000 and entitled ELECTROLUMINESCENT DEVICES, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

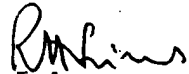
Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

Dated: _____

Jeremy Henley Burroughes

Dated: 25 April, 2000



Richard Henry Friend

Dated: _____

Christopher John Bright

Dated: _____

David John Lacey

Dated: _____

Peter Devine

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Jeremy Henley Burroughes

Dated: _____

Richard Henry Friend

Dated: _____

Christopher John Bright

Dated: _____

David John Lacey

Dated: ^X 26/04/2000 ^X

^X Peter Devine ^X
Peter Devine

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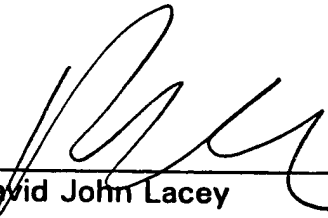
Richard Henry Friend

Dated: 22.06.00



Christopher John Bright

Dated: 22/6/2000



David John Lacey

Dated: _____

Peter Devine